

IN SUPPORT OF



UNODC

ORGANIZED BY



ROLACC

CODE OF CONDUCT

Sheikh Tamim Bin Hamad Al Thani

INTERNATIONAL ANTI-CORRUPTION EXCELLENCE AWARD



www.aceaward.com |
nominate@aceaward.com



CONTENT

1		Basic Information
2		Code of Conduct
3		Nomination Guidelines
4		Assessment Advisory Board Terms of Reference
5		High Level Award Committee Terms of Reference
6		Secretariat Terms of Reference
7		Winner's Compact

THE INTERNATIONAL ANTI-CORRUPTION EXCELLENCE AWARD

The Sheikh Tamim bin Hamad Al Thani Anti-Corruption Excellence Award (hereinafter referred to as ‘the Award’) is intended to offer recognition and appreciation to successful and effective approaches and prominent contributions to the prevention of and fight against corruption. It would recognize and reward exceptional efforts made by individuals, groups and organizations, including youth. The Award given to initiatives that have demonstrated or have potential in significantly contributing or driving effectiveness in the field of Anti-Corruption.

With a view to promoting greater awareness of the importance of tackling corruption and to encourage implementation of crucial measures of the United Nations Convention against Corruption, creative achievements and contributions of individuals and organizations towards more effective and responsive Anti-Corruption prevention efforts in countries worldwide would be rewarded with the Sheikh Tamim bin Hamad Al Thani Anti-Corruption Excellence Award.

By highlighting exemplary models and promoting excellence and creativity in anticorruption, the Award facilitates the collection, dissemination and, where possible, replication of noteworthy initiatives and good practices to foster integrity, accountability and transparency. In this context, the Award serves as an incentive and as a tool to identify, recognize, honour and reward those who have shown vision, leadership, creativity, enthusiasm in, and commitment and dedication for tackling corruption, as well as the capacity to inspire others to replicate similar efforts. Against this background, the Award creates a platform that acknowledges good practices and spurs action in the global fight against corruption.





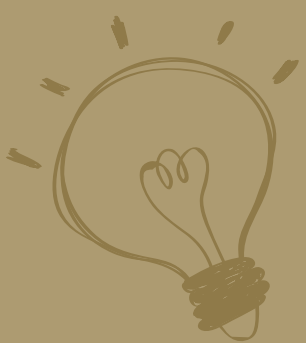
AWARD CATEGORIES



Lifetime or Outstanding Achievement



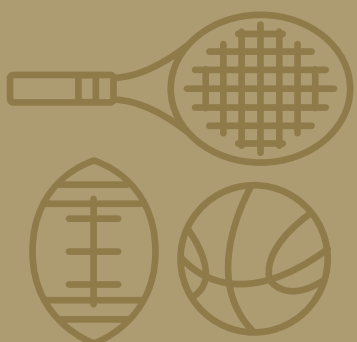
Academic Research and Education



Youth Creativity and Engagement



Innovation or Investigative Journalism



Safeguarding Sports from Corruption



DEFINITIONS

ASSESSMENT ADVISORY BOARD

The Assessment Advisory Board is composed of experts in the field of preventing and combating corruption. The members of the AAB are selected by the Secretariat in consultation with the Chairman of the Board of Trustees. The board is composed of a minimum of five members, with due regard to regional geographic representation and gender balance with the aim of ensuring an impartial and transparent selection process. The board meets twice a year.

The role of the Assessment and Advisory Board is to examine and review all nominations received by the Secretariat; to select the most noteworthy nominations from each category; to create a short-list of nominees in order for the High-Level Award Committee to determine its final selection, and; to provide non-binding advice and guidance on issues relating to the Award to the Secretariat as required.

HIGH LEVEL AWARD COMMITTEE

The High-Level Award Committee consists of former and current Ministerial or Head-of-State level members, and is chaired by the Chairman of the Board of Trustees of the Secretariat. It is entrusted with exercising its good judgment and selecting, from a short-list of candidates submitted by the Assessment Advisory Board, the final winners in each category of the Award.



SECRETARIAT

The Award Secretariat, the Rule of Law and Anti-Corruption Center, undertakes the administrative and procedural works needed to support the Award, the HLC and the AAB. This includes organizing the Award ceremony, proposing and implementing the advertising of the Award and maintaining the website, ensuring the validity of the nominations received by the Selection Committees, and informing the winners of the Award of the judges' decisions.

NOMINATOR

Any officially recognized and active entity who submits a nomination on behalf of a nominee, shall be considered a nominator.

The Nominator submits the required documentation of the work of their nominee for consideration by the Assessment and Advisory Board and the High-Level Committee of the Award.

NOMINATION

Any collated documentary evidence, which may consist of but is not limited to videos, pictures, news reports, internal documents, external feedback, letters of support, or similar, submitted by the nominee to demonstrate their achievements relevant to their chosen category, shall be considered the nomination.



NOMINEE

Any individual or organization who, through a separate nominating entity, submits the required documentation for consideration of their work by the Assessment and Advisory Board and the High-Level Committee of the Award, shall be considered a nominee.

WINNER

Any individual or entity whose nomination is chosen by the High-level Committee as the most notable from the shortlist of nominees created by the Assessment and Advisory Board shall be considered as a winner of the Award. In each category, there may be, based on the deliberations of the High Level Committee, more than one winner, or no winners.

CODE OF CONDUCT

The following Code of Conduct for the Sheikh Tamim bin Hamad Al Thani Anti-Corruption Excellence Award, for the staff of the Secretariat, the members of the High Level Award Committee, and the members of the Assessment Advisory Board of the Award, provides ethical guidelines that the above-mentioned entities and their members shall abide by before, during and after the Award nomination, assessment and selection process.

- 1 All staff and members of the above-mentioned bodies shall in carrying out their functions adhere to the highest professional standards of conduct and avoid conflicts of interest so as to maintain the integrity of the Award.
- 2 Staff and members shall act with professionalism, courtesy and diplomacy, and remain objective and impartial during the assessment and selection process.
- 3 Staff and members of the abovementioned bodies shall declare any potential or actual conflicts of interest to their supervisor or to the respective body, as well as to refrain from any involvement in related decision making.
- 4 All staff and members shall be bound by confidentiality in the course of the nomination, assessment, and selection procedures.
- 5 Staff and members shall not nominate a candidate for any of the Award categories.
- 6 In making their decisions, staff and members shall not discriminate on the basis of race, colour, sex, language, religion, national or social origin, property or birth.
- 7 In the event of a serious breach of this Code, staff or members shall resign from their respective positions.

NOMINATIONS GUIDELINES

1- Assessment and Selection Process

1-1

There will be two bodies: the Assessment Advisory Board and the High-Level Award Committee.

1-2

The AAB shall conduct a first round of evaluations, develop a shortlist of nominees for every Award category and present this shortlist with its relevant recommendations to the HLC for final selection.

1-3

The HLC will select the winners from the shortlist.

1-4

Separate Terms of Reference specify the role of each of these bodies.

1-5

The Secretariat has been established with the purpose of serving in a supporting, organizational and administrative function with regards to the implementation of the Award.

1-6

A Code of Conduct complements the ToRs of the HLC, AAB and the Secretariat.

NOMINATIONS GUIDELINES

2- Nomination Procedure

- 2-1** The evaluation process will be initiated by a call for nominations. Nominations must be made by a third party, i.e., another entity than the individual or institution being nominated, through an on-line nomination form. Past Award winners may submit nominations.
- 2-2** Eligible nominators include senior level officials from government departments and agencies, international organizations, academic institutions, renowned and relevant non-governmental organizations and professional associations.
- 2-3** Self-nominations shall not be accepted. The nominee and nominator shall not be the same person, and shall not be in any kinship relationship or hierarchically dependent of each other.
- 2-4** Nominations should not include the current incumbents of top-level political positions in governments (defined as ministerial level and above), or heads of governmental agencies or international organizations and agencies.
- 2-5** Past Award winners are ineligible for subsequent nominations.
- 2-6** Nominations shall be submitted through the appropriate nomination form containing the following information:
2.6.1. Name, function, organization, and contact details of the nominator, nominee, and their respective relationship.
2.6.2. The proposed Award category.
2.6.3. Reasons for nomination, including merits of the nominee; project details and impact; academic work etc.
2.6.4. Key reasons why the nominee is worthy of receiving an award.
- 2-7** Nominations with incomplete and/or missing data may be supplemented upon request of the secretariat.

NOMINATIONS GUIDELINES

Disqualification Criteria:

2-8

2.8.1. Conduct unbecoming the integrity standards expected of the recipient of such a prestigious award.

2.8.2. Administrative or legal/judicial sanctions imposed through a final decision by a competent administrative authority or court of law.

2.8.3. Grave allegations, which have not yet been adjudicated, while not constituting reason for disqualification, may give rise to a decision by the ABB and/or the HLC to suspend consideration of the nomination.

3 - Due Diligence

3-1

The Secretariat reviews the submissions and prepares a list for the consideration of the Assessment Advisory Board. The list consists of all nominations relevant to the category under review where all requested information has been duly provided. The Secretariat organizes and services the meetings of the Assessment Advisory Board (3-5 days depending on the number of nominations) during which it presents the nominations to the Board. The Board may request further communication with nominees or, if necessary, additional documents or relevant information.

3-2

After the nomination period has expired, the Secretariat will conduct due diligence of all submitted nominations to inter alia confirm the existence of the nominee and verify the validity of the nominations. The Secretariat shall contact the eligible nominees to inquire if they wish to accept their nominations. If the nominees decline to either accept the nomination or provide additional information when requested, their nomination shall not be considered. Once all nominations have gone through due diligence, the Secretariat shall inform the AAB of eligible nominations in order for them to carry out the remainder of the selection process.

NOMINATIONS GUIDELINES

4 - Evaluation and Completion of the Short List

- 4-1 The AAB shall hold at least one meeting to assess all nominations received.
- 4-2 The AAB shall prepare a shortlist of no more than 5 (five) nominees per category to be forwarded to the HLC with appropriate recommendations.

5 - Selection By High Level Award Committee (HLC)

- 5-1 The AAB, or a representative of the AAB, will present the shortlist to the HLC, and the HLC shall reach a decision in selecting the award winners no later than one month prior to the Award ceremony.
- 5-2 The decisions of the HLC shall be final and should be communicated to the AAB along with reasons thereof.
- 5-3 The HLC reserves the right not to award a prize in one or more categories if it deems that no suitable nominations have been provided.
- 5-4 The selected winners shall receive a formal letter from the Secretariat announcing their selection and inviting them to the Award ceremony.
- 5-4 The list of winners shall be made public on the Award's multimedia platform after the Ceremony.

NOMINATIONS GUIDELINES

6- Evaluation Criteria

Anti-Corruption Lifetime/Outstanding Achievement

6-1

6.1.1. Sustained and/or outstanding contributions to the achievement of the anti- corruption domestic and/or international agenda.

6.1.2. Unwavering commitment to the prevention and control of corruption.

6.1.3. Demonstrated personal and professional integrity.

6.1.4. Impact of actions, initiatives and work on anticorruption.

6.1.5. Serving as a role model for others.

Anti-Corruption Academic Research and Education

6-2

6.2.1. A recognized body of research and publications in the anticorruption area.

6.2.2. Significant contribution to the growth of knowledge in Anti-Corruption through research, publications, and education-related work.

6.2.3. Significant contribution to awareness-raising among the Anti-Corruption community.

Anti-Corruption Youth Creativity and Engagement

6-3

6.3.1. For projects designed and led by or for young people and, where applicable, supported by nongovernmental organizations or civil society. The projects could range from anti-corruption initiatives led by youth, summer camps or schools and awareness raising campaigns coordinated by youths.

6.3.2. Development and leadership in implementation of individual or collective activities, projects or initiatives designed to raise awareness, propose new solutions preventing and combating corruption among young people or society at large.

NOMINATIONS GUIDELINES

Anti-Corruption Innovation / Investigative Journalism

6-4

6.4.1. Development and/or use of transformative solutions and/or approaches to anti- corruption action and/or awareness-raising. Such solutions and/or approaches may involve the use of IT and communications technologies as well as other innovative methodologies.

6.4.2. Sound and ethical investigative journalism which plays a critical role in tackling corruption by exposing and documenting corruption and corrupt actors, laying the foundation for long-lasting change.

6-5

6.5.1. In 2022 the category of **Safeguarding Sports from Corruption**, which is associated across the spectrum of sports events and associations, has been chosen.

Nominations may address:

- Corruption in major sports events
- Illegal betting
- Manipulation of sports competitions
- Corruption and abuse in sport
- Gender and Corruption in sport

TERMS OF REFERENCE FOR THE ASSESSEMENT ADVISORY BOARD (AAB)

1- Selection and composition of the AAB

1-1 The Assessment Advisory Board shall be composed of experts in the field of preventing and combating corruption.

1-2 The members of the AAB shall be selected by the Secretariat in consultation with the Chairman of the Board of Trustees.

1-3 The AAB shall be composed of a minimum of five members, with due regard to regional geographic representation and gender balance with the aim of ensuring an impartial and transparent selection process.

2- Obligations and responsibilities of the AAB

2-1 The responsibilities of the AAB shall be as follows:

2-2 To conduct the assessment and selection of nominees for the different Award categories from the list of nominees prepared by the Sheikh Tamim bin Hamad Al Thani Anti-Corruption Excellence Award Secretariat according to the Guidelines for the nomination, assessment, evaluation and selection for the Sheikh Tamim bin Hamad Al Thani Anti- Corruption Excellence Award.

2-3 To advise the Secretariat of any discrepancies and/or inconsistencies in the nomination and assessment process; and

2-4 To prepare the shortlist with appropriate recommendations in each Award category for submission to the High-Level Award Committee.

2-5 These responsibilities shall be carried out by the AAB in line with the Code of Conduct.

2-6 If a member of the AAB cannot conduct an unbiased selection due to any of the factors established in the Code of Conduct and/or any other factors, he/she shall inform the AAB and abstain from the process.

TERMS OF REFERENCE FOR THE HIGH LEVEL AWARD COMMITTEE (HLC)

1- Composition of the HLC

1-1 The High-Level Award Committee shall be composed of selected members of the Board of Trustees of ROLACC.

1-2 A minimum of three members, including the Chairman, shall be required in order to achieve the minimum quorum in regard to selection decisions.

2- Obligations and responsibilities of the HLC

The responsibilities of the HLC shall be as follows:

2-1 **2.1.1.** To conduct the final selection of winners for the different Award categories from the shortlist submitted to it by the Assessment Advisory Board (hereinafter referred to as 'the AAB');
2.1.2. To present the Secretariat with a final list of the names of the winners in each Award category; and
2.1.3. To provide the rationale for any discrepancy with the recommendations made by the AAB, if any.

2-2 These responsibilities shall be conducted by the HLC in line with the Code of Conduct.

2-3 The HLC shall give all nominations in the shortlist full consideration and will follow the same evaluation criteria as the AAB.

2-4 If a member of the AAB cannot conduct an unbiased selection due to any of the factors established in the Code of Conduct and/or any other factors, he/she shall inform the HLC and abstain from the process.

TERMS OF REFERENCE FOR THE HIGH LEVEL AWARD COMMITTEE (HLC)

1- Composition of the HLC

1-1 The High-Level Award Committee shall be composed of selected members of the Board of Trustees of ROLACC.

1-2 A minimum of three members, including the Chairman, shall be required in order to achieve the minimum quorum in regard to selection decisions.

2- Obligations and responsibilities of the HLC

The responsibilities of the HLC shall be as follows:

2-1 **2.1.1.** To conduct the final selection of winners for the different Award categories from the shortlist submitted to it by the Assessment Advisory Board (hereinafter referred to as 'the AAB');
2.1.2. To present the Secretariat with a final list of the names of the winners in each Award category; and
2.1.3. To provide the rationale for any discrepancy with the recommendations made by the AAB, if any.

2-2 These responsibilities shall be conducted by the HLC in line with the Code of Conduct.

2-3 The HLC shall give all nominations in the shortlist full consideration and will follow the same evaluation criteria as the AAB.

2-4 If a member of the AAB cannot conduct an unbiased selection due to any of the factors established in the Code of Conduct and/or any other factors, he/she shall inform the HLC and abstain from the process.

TERMS OF REFERENCE FOR THE SECRETARIAT

1- Establishment of the Secretariat

- 1-1 The Secretariat has been established by the Chairman of the Board of Trustees of ROLACC with the purpose of serving in a supporting, planning, organizational and administrative function with regards to the planning, implementation and follow-up of the Award.
- 1-2 The Secretariat shall comprise an Executive Secretary and such professional and administrative staff as may be required for the performance of its functions.
- 1-3 The Secretariat shall be responsible for all organizational, administrative and financial matters regarding the Award, including by means of establishing procedures and providing overall support to the selection process.

2- Obligations and Responsibilities of the Secretariat

- 2-1 The Secretariat is committed to following and applying best practices and shall efficiently and effectively use its resources to fulfill all of its responsibilities.
- 2-2 The responsibilities of the Secretariat shall be to:
Coordinate, implement and administer the processes of nomination and selection for the Award. Its responsibilities may include but are not limited to the following tasks:
Prepare and coordinate the nomination and selection process;
• Carry out due diligence of the shortlist nominations, requesting further information on nominees, requesting their consent to be nominated;
• Create and implement a communication strategy for the receipt of nominations as well as for sharing information regarding the Award;
• Provide administrative and organizational support to the High-Level Award Committee (hereinafter referred to as 'the HLC') and the Assessment Advisory Board (hereinafter referred to as 'the AAB') before, during and after the assessment and selection process;
• Prepare and coordinate the organization and conduct of the annual ceremony for the presentation of the Awards;
• Prepare reports, evaluations, contacts and lessons learned.

TERMS OF REFERENCE FOR THE SECRETARIAT

3- Award Nomination Process

- 3-1 The Secretariat shall prepare and publish the guidelines for the process of nomination and selection for the Award.
- 3-2 These guidelines shall be published through the Award's communication channels and include all steps for the nomination to be considered valid. These include the pre-screening for all submitted nominations.
- 3-3 The Secretariat shall publish deadlines on the Award's multimedia platform.

4- Due Diligence of Nominations

- 4-1 The Secretariat shall, following the completion of the nomination process pursuant to Article 3 of the Guidelines for the nomination, assessment, evaluation and selection for the Sheikh Tamim bin Hamad Al Thani Anti-Corruption Excellence Award, collect all submitted nominations and conduct due diligence pursuant to Article 4 of the Guidelines.
- 4-2 The Secretariat shall conduct this due diligence to inter alia confirm the existence of the nominee and verify the validity of the nominations.
- 4-3 The Secretariat shall contact the eligible nominees to inquire if they wish to accept their nominations. If the nominees decline to either accept the nomination or provide additional information when requested, their nomination shall not be considered.
- 4-4 Once all nominations have gone through due diligence, the Secretariat shall inform the AAB of eligible nominations in order for them to carry out the remainder of the selection process.

WINNER'S COMPACT

As a recipient of the Sheikh Tamim Bin Hamad Al Thani International Anti-Corruption Excellence Award (hereafter referred to as the Award), each winner of this prestigious prize has been recognized by both the Award's Assessment and Advisory Board and High-Level Committee for their exemplary engagement in the fight against corruption. It is expected that all winners will continue to demonstrate and embody the values for which they were chosen as a representative of the Award.

1-1 Winners of the Award are expected to always adhere to the highest standards of ethics and integrity, including avoiding conflicts of interest.

1-2 Recipients of the Award are encouraged to provide the Secretariat with feedback on how any Award monetary component have been used.

1-3 Staff and members of the abovementioned bodies shall declare any potential or actual conflicts of interest to their supervisor or to the respective body, as well as to refrain from any involvement in related decision making.

1-4 Recipients of the Award shall ensure that the Award remains non-political. The Award is proud to serve the anti-corruption community in its entirety, and in doing so does not align or support any political party or ideology. For this reason, the Award monetary component should not be used for any direct or indirect benefit to a political party or political movement.

1-5 Organizations chosen as Award recipients should monitor and assess implementation of Anti-Corruption programmes which are assisted by the Award monetary component.



Sheikh Tamim Bin Hamad Al Thani
INTERNATIONAL ANTI-CORRUPTION EXCELLENCE AWARD



for more information please scan
the below barcode

